PTO/SB/21 (02-04)

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond a collection of information unless it displays a valid OMB control number. **Application Number** 09/690,213 TRANSMITTAL Filing Date October 17, 2000 **FORM** First Named Inventor Mamdani et al. Art Unit to be used for all correspondence after initial filing) 2686 **Examiner Name** Khawar Iqbal Attorney Docket Number 033327.0023 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ✓ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Postcard Return Receipt Request for Refund Express Abandonment Request Cited References Concise translations CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thomas F. Bergert Individual name Signature Date January 9, 2007 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Rachelle Gruenberg Date January 9, 2007 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/\$B/17 (12-04v2)

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Fees pursually the Conselva	¥ed Appro	priations Act, 2005 (H.I	R. 4818).	Application Num	nber 09	690,213	
		SMITT	4L	Filing Date	Oc	October 17, 2000	
For FY 2005				First Named Inv	entor Ma	Mamdani et al.	
Applicant claims small	antity eta	tus See 37 CEP 1	27	Examiner Name	xaminer Name Khawar Iqbal		
	<del></del>	-	21	Art Unit	26	36	
TOTAL AMOUNT OF PAYN	IENT	(\$) 180.00	)	Attorney Dockel	t No. 03	3327.0023	
METHOD OF PAYMENT	(check	all that apply)					
Deposit Account De For the above-identifi  Charge fee(s) Charge any ad under 37 CFR WARNING: Information on this information and authorization of FEE CALCULATION	eposit Acco ed depos indicated ditional t 1.16 and form may on PTO-20	sit account, the Direct below fee(s) or underpayme i 1.17 become public. Cred 038.	66 ctor is her ents of fe	Deposit Accepts authorized to Charge(s) Credit	ccount Name: : (check all e fee(s) ind t any overpa	Williams Muthat apply) icated below, ex	xcept for the filing fee
1. BASIC FILING, SEAR		D EXAMINATION G FEES Small Entity		CH FEES Small Entity	EXAMIN	ATION FEES Small Entity	
<b>Application Type</b>	Fee (\$)		<u>Fee (\$</u>		Fee (\$)	Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	**
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	^	0	^	•	

2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee Description Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims Extra Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Information Disclosure Statement 180.00

SUBMITTED BY			
Signature	thomas f. beve	Registration No. (Attorney/Agent) 38,076	Telephone (703) 760-5237
Name (Print/Type)	Thomas F. Bergert		Date January 9, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JAN 10 2007 BY IN THE UNITED STATES PATER	NT AND TRADEMARK OFFICE				
In Re Application of:					
Mamdani, Malik et al.					
Serial No.: 09/690,213	Group Art Unit: 2686				
Filed: October 17, 2000	Examiner: Khawar Iqbal				
For: Method and System for Facilitation of	f Wireless E-Commerce Transactions				
INFORMATION DISCI	LOSURE STATEMENT				
Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450					
Dear Sir:					
This information disclosure statement §§1.56, 1.97, and 1.98, and specifically:	is filed in accordance with 37 C.F.R.				
under 37 C.F.R. 1.97(b), or (within Three months of filing nation application; or before mailing date of last)	(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs				
under 37 C.F.R. 1.97(c) together with either a:  Statement Under 37 C.F.R. 1.97(e), or  a \$180.00 fee under 37 C.F.R. 1.17(p), or  (After the C.F.R. 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)					
under 37 C.F.R. 1.97(d) together with a:  Statement under 37 C.F.R. 1.97(e), and a \$180.00 fee under 37 C.F.R. 1.17(p).					
The Commissioner is hereby authorize amount of \$180.00 for payment of the fees	ed to charge deposit account <u>50-0766</u> in the s due.				
Applicant(s) submit herewith Form PTO/SB/08A – Information Disclosure  Statement by Applicant together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or					

may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form SB/08A, as presently understood by the individual(s) designated in 37 C.F.R. 1.56(c) most knowledgeable about the content is given on the attached sheets.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or the information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

WILLIAMS MULLEN, PC

January 9, 2007

Thomas F. Bergert

Registration No. 38,076

8270 Greensboro Drive, Suite 700 McLean, Virginia 22102 703.760.5200

 $\underline{tbergert@williamsmullen.com}$ 

Enclosure: a

- a) Form PTO/SB/08A
- b) copies of cited references
- c) concise English explanation of foreign cited references

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/P

Sheet 1

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(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	09/690,213		
Filing Date	October 17, 2000		
First Named Inventor	Mamdani et al.		
Art Unit	2686		
Examiner Name	Khawar Iqbal		
Attorney Docket Number	033327.0023		

			U. S. PATENT D		
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
	EP 0 950 968 A1	10-20-1999	Takayama		
	JP 08016740 A	01-19-1996	Toshiba Corp.		Ī
	JP 10021305 A	01-23-1998	Hitachi Maxell Ud.		
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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )  EP 0 950 968 A1  JP 08016740 A	Cite No.¹         Foreign Patent Document         Publication Date MM-DD-YYYY           Country Code³ Number⁴ Kind Code⁵ (if known)         10-20-1999           JP 08016740 A         01-19-1996	No.¹         Date MM-DD-YYYY         Applicant of Cited Document           EP 0 950 968 A1         10-20-1999         Takayama           JP 08016740 A         01-19-1996         Toshiba Corp.	Cite No. 1 Foreign Patent Document Publication Date MM-DD-YYYY Publicant of Cited Document Where Relevant Passages Or Relevant Figures Appear PP 0 950 968 A1 10-20-1999 Takayama  JP 08016740 A 01-19-1996 Toshiba Corp.

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

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